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Douglas A. Ducey, Governor
Leslie R. Hess, Interim Director

REGULATORY BULLETIN 2016-05

To: Surplus Lines Brokers, Surplus Lines Insurers, Surplus Line Association of Arizona and Other Interested Persons

From: Leslie Hess
Interim Director of Insurance

A handwritten signature in cursive script that reads "Leslie Hess".

Date: December 19, 2016

Re: Expiration of Arizona Administrative Code ("A.A.C.") R20-6-204; Surplus Lines Brokers' Filing Requirements; List of Unauthorized Insurers

Effective Friday, December 16, 2016, A.A.C. R20-6-204 ("Rule") is expired. The Rule restated requirements in Arizona Revised Statutes ("A.R.S.") § 20-413 pertaining to the addition of a foreign unauthorized insurer (a.k.a. "foreign surplus lines insurer") to Arizona's *List of Qualified Unauthorized Insurers* ("White List"), and had required a surplus lines broker to file an annual certification in order for a foreign surplus lines insurer to remain on the White List.

While A.R.S. § 20-413 continues to require a surplus lines broker to file an initial certification to propose the addition of a foreign surplus lines insurer to the White List, the Rule expiration eliminates the annual recertification requirement. A foreign surplus lines insurer shall remain on the White List unless the surplus lines insurer is removed from the list for reasons set forth in Arizona law, including but not limited to those set forth in A.R.S. § 20-413(H).

Please direct any questions related to this Regulatory Bulletin to:

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